Subst	itute for form	1449/PTO		Complete if Known			
		Application Number	10/796,584				
INFORMATION DISCLOSURE		Filing Date	March 8, 2004				
STA	STATEMENT BY APPLICANT		First Named Inventor Clark R. Baker, Jr.				
(Use as many sheets as necessary) Art Unit 3737		3737					
		Examiner Name	Ramirez, John Fernando				
Sheet	1	of	5	Attorney Docket Number P0409R/TYHC:0149/FLE/POW			

		U.S. I	PATENT DOC	CUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US- 3,638,640	02/01/1972	Shaw	
	A2	US- 4,854,699	08/08/1989	Edgar, Jr.	
	A3	US- 4,936,679	06/26/1990	Mersch	
	A4	US- 4,960,126	10/02/1990	Conlon	
	A5	US- 4,971,062	11/20/1990	Hasebe et al	
	A6	US- 4,974,591	12/04/1990	Awazu et al.	
	A7	US- 5,025,791	06/25/1991	Nagoya	
	A8	US- 5,028,787	07/02/1991	Rosenthal et al.	
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	A10	US- 5,084,327	01/28/1992	Stengel	
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	A31	US- 6,415,236	07/02/2002	Kobayashi et al.	
	A32	US- 6,419,671	07/16/2002	Lemberg	
	A33	US- 6,449,501	09/10/2002	Reuss	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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			Application Number	10/796,584			
INFORMATION DISCLOSURE		Filing Date	March 8, 2004				
STA	STATEMENT BY APPLICANT		First Named Inventor Clark R. Baker, Jr.				
(Use	(Use as many sheets as necessary)		Art Unit	3737			
		Examiner Name	Ramirez, John Fernando				
Sheet	2	of	5	Attorney Docket Number P0409R/TYHC:0149/FLE/POW			

	U.S. PATENT DOCUMENTS									
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
	A34	US- 6,461,305	10/08/2002	Schnall						
	A35	US- 6,480,729	11/12/2002	Stone						
	A36	US- 6,487,439	11/26/2002	Skladnev et al.						
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	A41	US- 6,618,042	09/09/2003	Powell						
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	A58	US- 6,993,372	01/31/2006	Fine						
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Examiner	Date	
Signature	Considered	ļ.

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Subst	Substitute for form 1449/PTO			Complete if Known			
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INFORMATION DISCLOSURE		Filing Date	March 8, 2004				
STA	STATEMENT BY APPLICANT		First Named Inventor Clark R. Baker, Jr.				
(Use	Use as many sheets as necessary) Art Unit 3737		3737				
		Examiner Name	Ramirez, John Fernando				
Sheet	3	of	5	Attorney Docket Number P0409R/TYHC:0149/FLE/POW			

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A92	US-			
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A94				
A95				
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		Examiner Name	Ramirez, John Fernando				
Sheet	4	of	5	Attorney Docket Number P0409R/TYHC:0149/FLE/POW			

Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	
Initials*	$No.^1$	Country Code ³⁻ Number ⁴⁻ Kind Code ^{5(if}	Date	Applicant of Cited	Where Relevant	T ⁶
		known)	MM-DD-YYYY	Document	Passages or Relevant	1
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	B 1	DE69123448	5/22/1997	Futrex Inc.		
	B2	JP24148070	5/27/2004	Tse:KK		
	В3	JP24166775	6/17/2004	Citizen Watch Co		
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	B4	JP25046234	2/24/2005	Hitachi Ltd		
	B5	JP25095606	4/14/2005	Nippon Koden Corp		
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	D/			Photonics Kk		
	B8	JP4038280	1/23/2008	Hamamatsu		
	Вб			Photonics Kk		
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	B13					
	B14					
	B15					
	B16					
	B17					

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				Application Number	10/796,584
INFORMATION DISCLOSURE				Filing Date	March 8, 2004
STATEMENT BY APPLICANT				First Named Inventor	Clark R. Baker, Jr.
(Use as many sheets as necessary)				Art Unit	3737
				Examiner Name	Ramirez, John Fernando
Sheet	5	of	5	Attorney Docket Number	P0409R/TYHC:0149/FLE/POW

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T^2				
	C1	Aoyagi, T., et al.; "Analysis of Motion Artifacts in Pulse Oximetry," Japanese Society ME, Vol. 42, p. 20 (1993) (Article in Japanese – contains English summary of article)					
	C2	Goldman, Julian M.; "Masimo Signal Extraction Pulse Oximetry," Journal of Clinical Monitoring and Computing, Vol. 16, pp. 475-483 (2000)					
	C3	Yamazaki, Nakaji, et al.; "Motion Artifact Resistant Pulse Oximeter (Durapulse PA 2100)," Journal of Oral Cavity Medicine, Vol. 69, No. 4, pp. 53 (date unknown) (Article in Japanese – contains English summary of article).					
	C4						
	C5						
	C6						
	C 7						
	C8						
	C9						
	C10						
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